Guidance for students and supervisors for submission of a thesis including one or more publication

This is guidance for students who are completing a standard PhD but would like the option of including papers as part of their thesis. This is different from students who are enrolled specifically to submit a Thesis by Publication, there are different guidelines to follow for these students.

The thesis shall form a substantial contribution to the knowledge of the subject and afford evidence of originality, shown either by the discovery of new facts or by the exercise of independent critical power.

The candidate may in part or in whole use evidence of published outputs within the body of the thesis; in such a case the following conditions apply:

- Published research used within the thesis shall be peer reviewed and either published or accepted for publication in the public domain. It should present the results of original research and scholarship.
- Where evidence of publications is used, these must be either published or accepted for publication usually within 3 and a half years (full time equivalent) from the commencement of the postgraduate Student’s research programme. Where a paper is accepted for publication then evidence of this must be provided.
- In addition to the body of published work a candidate shall submit a critical review of the published research which shall be in the range of 2000-5000 words per publication. The critical review for each publication shall:
  - include a summary of the aims, objectives, methodology, results and conclusions of the submitted work;
  - indicate how the publication forms a coherent part of the submitted body of work as a whole;
  - demonstrate the candidate’s significant contribution to the expansion of knowledge of the subject and afford evidence of originality, shown either by the discovery of new facts or by the exercise of independent critical power.
- The critical review shall be the candidate’s own account of their contribution to the research and shall be accompanied by a declaration to this effect signed by the candidate. Where the work was done in conjunction with other persons, the candidate must clearly demonstrate in their critical review where they have made a substantial contribution to the published work and sign a separate statement indicating the contribution of each author.
- The thesis must also contain a final coherent critical review, discussion and conclusion relating to the overall work combining and linking together the contributions of published and, if appropriate, unpublished work.
- The published work included in the thesis and the thesis itself shall be written and published in English except that in language subjects it may, at the discretion of the Senate, be in the language concerned. The literary presentation shall be satisfactory.
- Where necessary, the candidate must ensure that permissions for the use of prior published work within the thesis is obtained.
- There is no limit to the number of papers that can be used, as long as these papers illustrate the substantial, original contribution that you have made. As a result, the length of a thesis written in this way can vary, but please note that a standard PhD thesis would not normally exceed 80,000 words.