Academic Registry
Process for Managing Suspended Boards of Examiners

Background

In June 2012, the Quality and Standards Committee approved a proposal to introduce a formal process for managing and reporting on Boards of Examiners which had been suspended at the request of the Chair and/or the Dean of the University (or representative). The process is not only to assure quality and standards, but also to have in place an equitable, transparent and consistent process. The process should be applied only where Boards have begun operating and issues have arisen which consequently prevents the Board from effectively conducting its business.

Suspension of Different Types of Boards of Examiners
The process is differentiated according to type of Board of Examiners (Assessment, Progression and Award) and severity of issue.

1. Assessment Boards
Schools will have in place arrangements for managing suspended Assessment Boards, which are internal meetings to consider course-level results. In most cases, there will be no need for the School to provide a report to the Deputy Principal (Learning and Teaching) on such suspensions (eg where marks for elements of assessment are not yet available). However, if the suspension has been caused by a serious matter, such as malpractice or breach of exams procedures, then the Deputy Principal (Learning and Teaching) must be notified.

2. Pre-Meetings of Progression or Awards Boards
Schools often have a pre-meeting of Progression/Award Boards in order to discuss and resolve issues in advance of the formal meeting featuring the External Examiner and the Dean of the University (or representative). In most cases, suspensions are likely be managed within the School, eg where results for one or more courses are not yet available. However, where a major issue has contributed to the suspension of the Board, eg an instance of malpractice, a breach of exam procedures or missing exam scripts, then the Deputy Principal (Learning and Teaching) must be notified.

3. Meetings of Progression or Awards Boards
All instances of suspensions of Progression or Award Boards, where decisions on progress and/or award are being made, must be reported to the Deputy Principal (Learning and Teaching). The Dean of the University should notify the Deputy Principal (Learning and Teaching) immediately following the suspended Board. If a representative of the Dean is in attendance, then he or she should report the matter immediately to the appropriate Dean, who should in turn inform the Deputy Principal (Learning and Teaching). A formal report will be required from both the Chair and the Dean of the University (or representative).

Reporting to the Deputy Principal (Learning and Teaching)
Where the Deputy Principal (Learning and Teaching) has been notified of a suspended Board of Examiners in one of the three categories above, a written report must be provided. The report should include core information (title and type of Board; date of meeting; persons in attendance; name of Chair) and should set out: the reasons for the suspension; action taken subsequent to the suspended Board; the progress of the reconvened Board; plans for longer term action (if appropriate); recommendations for future preventative measures (if appropriate).

In the case of Category 1 and 2 suspensions, the Deputy Principal (Learning and Teaching) will request a report from the Head of School (likely to be produced by the Chair of the Board).
the case of Category 3 suspensions, a report will be required from the Head of School and the Dean of the University (to be channelled via the Dean if a representative was in attendance at the Board); the External Examiner will also be expected to comment on the suspension in his/her formal report to the University. The Dean’s Report, which will be in the standard *Operation of Progression/Award Boards – Dean’s Comments report* format, will be sent directly to the Deputy Principal (Learning and Teaching), and not in this case via the Academic Registry.

**Consideration of Reports**

All reports will be considered by the Deputy Principal (Learning and Teaching), the relevant Dean of the University and the Head of Academic Quality (Academic Registry).

If, following consideration of the report(s), it is deemed that the contributing factors were relatively minor and/or have been satisfactorily resolved, the matter will be regarded as closed. The instance will be reported in a generic way (without identification of the Board or School) in the annual Report on Examinations Processes, which is produced by the Quality Assurance Manager (Academic Registry) for consideration by the Quality and Standards Committee.

If, following consideration of the report(s), it is agreed that the issues are of a significantly major nature, the Deputy Principal (Learning and Teaching) will progress the matter with the Head of School and the Dean, and will engage the appropriate University committees and/or Senior Officers as required. A formal report will be required at relevant stages and on resolution of the issue in question.

In the case of major incidents, if the standards of awards or progression decisions have not been compromised and are still secure, then the instance will be reported in a generic way (without identification of the Board or School) in the annual Report on Examinations Processes, which is produced by the Quality Assurance Manager (Academic Registry) for consideration by the Quality and Standards Committee. In the case of major incidents, if the standards of awards or progression decisions have been compromised and/or regarded as being not robust, then the instance will be reported immediately by the Deputy Principal (Learning and Teaching) to the Quality and Standards Committee, with a recommendation for action.

**Approval of Process**

The process will be submitted to the Quality and Standards Committee for approval, and, thereafter, incorporated into the examinations policies, guidelines and procedures which are produced (and updated and re-issued annually) by the Academic Registry.

31 May 2012
Approved by QSC, 29 June 2012

*Last reviewed for currency in January 2017*

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1 Use of the existing Dean’s Report template means that the Deans or their representatives are not required to produce a separate report covering similar topics.