



Research Publications Policy

July, 2014

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**HERIOT-WATT UNIVERSITY
RESEARCH PUBLICATIONS POLICY**

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1. INTRODUCTION

Heriot-Watt University recognises that that open access to research publications and research data assists in the dissemination of research and stimulates new opportunities for collaboration. The University Publications Policy supports RCUK and HEFCE's statements that the outputs of research should be as widely accessible as possible.

This policy is designed to support researchers in complying with funding bodies' requirements on open access to research publications, in particular RCUK's Open Access Policy and the recent HEFCE policy for Open Access in the post-2014 Research Excellence Framework.

2. PURPOSE

The Publication Policy will assist in raising the profile of Heriot-Watt University's research, and in calculating bibliographic measures such as citation counts. The University risks losing research funding if it fails to meet funders' expectations. The following policy outlines the University's expectations. It takes effect from August 2014.

3. OBJECTIVES

Heriot-Watt University is committed to disseminating its research as widely as possible to achieve maximum impact, and supports its staff in making their research outputs available through open access.

Many funders now have Open Access Policies for publications and research data; researchers must comply with their funders' requirements.

It is the responsibility of members of staff to ensure that details of all research publications are added to the Pure current research information system.

In order to comply with the UK HE funding bodies "Policy for open access in the post-2014 Research Excellence Framework", authors must record details of all journal articles and conference proceedings in Pure, and upload the Accepted Version of the paper to Pure, no more than three months after acceptance. The Accepted Version can be updated with the Final Published Version at a later date.

For other publications, authors are encouraged to make these open access by upload an electronic version of their work to Pure. Where copyright prohibits or limits open access, an appropriate embargo to open access can be applied.

In order to track and monitor details of HWU's open access publishing, authors must acknowledge the source of grant funding associated with research output on the published output.

Authors are encouraged to sign up for ORCID persistent identifiers and use this in grant applications and manuscript submissions.

4. SCOPE

This Policy applies to all members of staff employed on an academic contract.

5. SUPPORT AND GUIDANCE

Funding is available for RCUK authors to make their publication Open Access. Authors should contact their School Research Office for details on how to access the fund. There is no central university funding to make non-RCUK funded publications open

access. For more information on non-RCUK funder's open access policies and funding for Open Access, see:

<http://www.sherpa.ac.uk/juliet/index.php>

Guidance on open access publishing, funders' policies and how to archive papers in Pure is available from: <http://www.hw.ac.uk/is/research-support.htm> / Email:

open.access@hw.ac.uk

and from local School Research Offices.

6. POLICY VERSION AND HISTORY

Version No	Date of Approval	Approving Authority	Brief Description of Amendment
V.1	03.07.2014	University Executive	N/A

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