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# WORK PACKAGE 3 – ISLANDS INNOVATION & COMMERCIALISATION PROGRAMME DEVELOP INNOVATION GRANT APPLICATION FORM

Heriot-Watt University will share data and information collected from applicants with third-parties for the purposes of facilitating review and potential award of a Develop Innovation Grant – this sharing of data and information will be subject to a contractual obligation of confidentiality by the aforesaid third-parties and to the University's <u>Privacy Notices</u>.

# THIS FORM MUST BE COMPLETED JOINTLY BY THE COMPANY/THIRD SECTOR ORGANISATION AND HERIOT-WATT UNIVERSITY

## **SECTION 1. COLLABORATING PARTIES**

# Section 1.1 - Company/Third Sector Organisation

Company/Third Sector Organisation name	Click or tap here to enter text.
Company/Third Sector Organisation address	Click or tap here to enter text.
Postcode	Click or tap here to enter text.
Company/Third Sector Organisation trading address if different from the above	Click or tap here to enter text.
Postcode	Click or tap here to enter text.
Company/Third Sector Organisation Registration No.	Click or tap here to enter text.
Number of Employees	Enter a value
Company/Third Sector Organisation website	Click or tap here to enter text.
Company/Third Sector Organisation overview, including skills and expertise relevant to this project (max 150 words)	Click or tap here to enter text.
Company industrial sector if applicable	Choose an item.
Company size (definitions can be found <u>here</u> – paragraphs 8, 8.1, 9.1 and 11.1 refer) if applicable	Choose an item.
Third Sector Organisation category if applicable	Choose an item.

Name of Company/Third Sector Organisation contact	Click or tap here to enter text.	
Email of Company/Third Sector Organisation contact	Click or tap here to enter text.	
Telephone number of Company/Third Sector Organisation contact	Enter a value	
Section 1.2 – University/Acade	emic Staff Details	
University name	Choose an item.	
School/Institute	Click or tap here to enter text.	
Academic name	Click or tap here to enter text.	
Academic email address	Click or tap here to enter text.	
Academic telephone number	Enter a value	
Section 1.3 – University Business & Enterprise (B&E) Contact		
Section 1.3 – University Busine	ess & Enterprise (B&E) Contact	
Section 1.3 – University Busine University name	Choose an item.	
-		
University name	Choose an item.	
University name  B&E contact name	Choose an item.  Click or tap here to enter text.	
University name  B&E contact name  B&E contact email address  B&E contact telephone	Choose an item.  Click or tap here to enter text.  Click or tap here to enter text.  Enter a value	
University name  B&E contact name  B&E contact email address  B&E contact telephone number	Choose an item.  Click or tap here to enter text.  Click or tap here to enter text.  Enter a value	
University name  B&E contact name  B&E contact email address  B&E contact telephone number  Section 1.4 – Additional Collaboration	Choose an item.  Click or tap here to enter text.  Click or tap here to enter text.  Enter a value  corating Party (if applicable)	
University name  B&E contact name  B&E contact email address  B&E contact telephone number  Section 1.4 – Additional Collaborating party  Name of contact in	Choose an item.  Click or tap here to enter text.  Click or tap here to enter text.  Enter a value  corating Party (if applicable)  Click or tap here to enter text.	

#### **SECTION 2. THE PROJECT**

Note: this section is key in informing the decision on whether or not a Develop Innovation Grant will be awarded – it is essential to demonstrate the need for the award and the value of its outputs.

# **Section 2.1 – Project Details**

Project Title – this should reflect the focus of the project	Click or tap here to enter text.
(max 30 words)	
Non-confidential project description that can appear in the public domain.  (max 150 words)	Click or tap here to enter text.
What is the planned start date and duration of the project? (Note – projects funded by Develop Innovation Grants normally have a duration of 3 months or less)	Click or tap here to enter text.
Provide a description of the project, including:  • what it hopes to deliver and how this will be achieved • what is innovative or novel about it • its expected outputs • what is driving the need for the innovation  (max 800 words)	Click or tap here to enter text.

#### Section 2.2 – Project Outputs (Innovation)

If the project and encorring	Click out on horse to out out
If the project and emerging	Click or tap here to enter text.
innovation are successful,	
describe what impact and	
future opportunities this will	
create within the	
company/third sector	

organisation and wider market or community.  (max 450 words)	
How are the project outputs expected to be differentiated from current technologies, products, services or processes? What are the expected unique selling points?	Click or tap here to enter text.
(max 200 words)	
Section 2.3 – Project Outputs (	Economic, Social and Environmental)
What are the anticipated environmental impacts of the project including on the island's transition to net zero?	Click or tap here to enter text.
(max 200 words)	
How will the island's economy benefit from the undertaking of the project?	Click or tap here to enter text.
(max 200 words)	
What are the anticipated outcomes from the project that will drive equality and diversity, including equal opportunities and fair work?  (max 200 words)	Click or tap here to enter text.
What are the anticipated	Click or tap here to enter text.
outcomes from the project that will drive social inclusion (e.g. well-being, reduced poverty, community engagement, access to services)?	
(max 200 words)	

Section 2.4 – Need for Academic Collaboration		
What academic know-how and expertise are required to undertake the project? Why has the academic identified in Section 1.2 been selected to provide this?  (max 150 words)	Click or tap here to enter text.	
How will this academic know- how and expertise input to the project and help realise value from the project outputs? (max 150 words)	Click or tap here to enter text.	
Explain how the academic member of staff will engage with the project (max 150 words)	Click or tap here to enter text.	
How is it expected that the undertaking of the project will benefit the academic and their university?  (max 150 words)	Click or tap here to enter text.	
Section 2.5 – Leveraged Funding		
Has leveraged funding in support of the project been identified?	Choose an item.	
If 'yes' provide details of the level of funding, who is providing the funding and their proposed relationship with the project.  (max 100 words)	Click or tap here to enter text.	
Explain what will be done with the leveraged funding to add value to the project.	Click or tap here to enter text.	

(max 150 words)		
Section 2.6 – Intellectual Property		
Note: a legal agreement between the relevant university <sup>1</sup> and the company/third sector organisation will govern the undertaking of the project. To inform the intellectual property (IP) clauses within the agreement, a discussion will be held between the university and the company/third sector organisation to determine the optimum position with respect to ownership of IP arising from the project (foreground IP). All background IP will be owned by the party introducing it into the project.		
<sup>1</sup> Heriot-Watt University, Robert Gordon	University or the University of the Highlands & Islands	
Provide a summary of the background IP and/or know-how that the company/third sector organisation will bring to the project.	Click or tap here to enter text.	
(max 150 words)		
Provide a summary of the background IP and/or knowhow that the University will bring to the project.  (max 150 words)	Click or tap here to enter text.	
(IIIax 150 Wolds)		
Section 2.7 – Plan for Delivery		
Provide a summary of the work plan to deliver the project, including project stages and the roles of the staff employed by the company/third sector organisation and the University.	Click or tap here to enter text.	
(max 400 words)		
Estimate how long each project stage will last.	Click or tap here to enter text.	
(max 100 words)  Describe how the project will	Click or tap here to enter text.	
be managed and monitored.		
(max 100 words)		

How often will meetings be held between the academic and the company/stakeholder organisation?	Click or tap here to enter text.	
(max 50 words)		
Section 2.8 – On Completion o	f the Project	
After the project has completed, what actions are envisaged to continue building upon and maximising value from the emerging innovation?	Click or tap here to enter text.	
(max 250 words)		
Section 2.9 – Challenges and Risks		
What risks and challenges have been identified for the company/third sector organisation in its undertaking of the project?  (max 150 words)	Click or tap here to enter text.	
Assuming the innovation arising from the project is successful, what risks and challenges have been identified by the company/third sector organisation in taking it	Click or tap here to enter text.	

#### **SECTION 3. PROJECT COSTS**

forward?

(max 150 words)

Please refer to 'TalEntEd WP3 - Eligible Grant Costs and In-Kind Contributions' prior to completion of this section – see attached Appendix.

Only directly allocated academic staff time and directly incurred costs (travel and subsistence, consumables, equipment, other directly incurred costs and facilities access) can be claimed by the University - no estates, infrastructure technician or indirect costs are payable; such costs incurred in delivery of the project should be treated as an 'in-kind' contribution.

The company/third party organisation can claim for travel and subsistence, consumables, equipment and other directly incurred costs. No staff time or overhead costs are payable; such costs incurred in delivery of the project should be treated as an 'in-kind' contribution.

Only companies/third party organisations not registered for VAT can claim VAT as an eligible project cost (i.e. irrecoverable VAT). Universities can include VAT as an eligible project cost. Applicants must ensure that they are fully understanding of their VAT liabilities prior to submission of this application.

## **Section 3.1 - University Costs**

Directly Allocated (DA) Staff Costs	Staff Name	Number of Days on Project	Cost (£)
Directly allocated academic staff time	Click or tap here to enter text.	Enter a value	Enter a value
Total DA Costs			Enter a value
Directly Incurred (DI) Costs	Description		Cost (£)
Travel and subsistence	Click or tap here to enter text.		Enter a value
Consumables	Click or tap here to enter text.		Enter a value
Equipment	Click or tap here to enter text.		Enter a value
Other DI	Click or tap here to enter text.		Enter a value
Facilities Access	Click or tap here to enter text.		Enter a value
Total DI costs			Enter a value
Total University Costs (DA+DI)		Enter a value	

#### Section 3.2 - Company/Stakeholder Organisation Costs

Directly Incurred (DI) Costs	Description	Cost (£) – including only irrecoverable VAT
Travel and subsistence	Click or tap here to enter text.	Enter a value
Consumables	Click or tap here to enter text.	Enter a value

Equipment	Click or tap here to enter text.	Enter a value
Other DI	Click or tap here to enter text.	Enter a value
Total Company/Stakeholder Organisation DI Costs		Enter a value
	TOTAL PROJECT COSTS	Enter a value
	TOTAL AMOUNT OF GRANT APPLIED FOR	Enter a value
Section 3.3 – University In-Kin	d Contribution	
Description	Click or tap here to enter text.	
Value (£)	Enter a value	
Section 3.4 – Company/Third S	Sector Organisation In-Kind Contribution	
Description	Click or tap here to enter text.	
Value (£)	Enter a value	
SEC	CTION 4. FREEDOM OF INFORMATION	
requested to disclose information of companies and third sector organisat	he Freedom of Information (Scotland) Act 2002 and as con Develop Innovation Grant applications, awards and projections will be consulted before any decision is made to disclost to disclose may be appealed to the Scottish Information Cor	ects. Collaborating se information. It is
SECTION 5.1 DECLARATION – COMPANY/THIRD SECTOR ORGANISATION		
I CONFIRM THAT:		
The Company/Third Sector Organisation will only use the Develop Innovation Grant to support the project captured in this application form.	Choose an item.	

The Company/Third Sector Organisation is solvent.	Choose an item.
Subject to legal review, the Company /Third Sector Organisation will comply with the terms and conditions of a legal agreement between it and the university which will govern the undertaking of the project.	Choose an item.
The Company/Third Sector Organisation will complete a final report on completion of the project in a form issued by Heriot-Watt University.	Choose an item.
The Company/Third Sector Organisation will assist with the completion of a case study utilising non-confidential outputs of the project.	Choose an item.
I acknowledge that: Develop Innovation Grants are awarded as a Minimum Financial Assistance (MFA) subsidy under the Subsidy Control Act (2022); that there is a threshold of £315,000 MFA cumulated over this and the previous two financial years, as specified in section 36 of the Subsidy Control Act (2022); and that the company/third sector organisation will ensure that this threshold is not exceeded if the Develop Innovation Grant is awarded.	Choose an item.
The Company/Third Sector Organisation agrees to the University sharing data and information collected from it with third-parties for the purposes of facilitating review and potential award of a Develop Innovation Grant,	Choose an item.

subject to the sharing of this data and information being subject to a contractual obligation of confidentiality by the aforesaid third-parties and to the University's Privacy Notices.			
I have read and understand 'Section 4. Freedom of Information' within this application form.	Choose an item.		
The information contained in this form about my Company/Third Party	Choose an item.	Name of Authorised Officer	Click or tap here to enter text.
Organisation is accurate.		Email address	Click or tap here to enter text.
Date	Click or tap to enter a date.		
SECTION 5.2 DECLARATION – ACADEMIC			
AS THE ACADEMIC IDENTIFIED IN SECTION 1.2 ABOVE, I CONFIRM THAT:			
I have the necessary academic	Choose an item.		
know-how and expertise to collaborate in the undertaking of this project.			

# ON COMPLETION OF THIS FORM PLEASE SUBMIT IT TO TALENTEDWP3@HW.AC.UK

#### **APPENDIX**

#### **TalEntEd Islands Programme**

#### TalEntEd WP3 - Eligible Grant Costs and In-Kind Contributions

#### **Eligible Grant Costs**

The following costs are eligible for inclusion in applications for a Develop or Adopt Innovation Grant, to be awarded as part of Work Package 3 within the TalEntEd Islands Programme. Should there be uncertainty on whether or not a cost is eligible, please contact the Innovation Development Manager for this work package – TALENTEDWP3@HW.AC.UK.

The costs of undertaking a proposed project, relative to the potential value it adds, will be a primary factor influencing the decision of the work package's Industry Advisory Board on whether to award an Innovation Grant. It is essential to demonstrate value for money and reasonableness across all of the following cost items when including them in an application.

All eligible costs must be fully supported by an auditable record in justification of a claim. Claims for the cost of university staff time must be justified by the use of timesheets.

- 1. **University Staff Time**: cost for the time of university employed academic staff (Heriot-Watt, RGU or UHI) when directly contributing to a project. This includes salaries, employer's National Insurance (NI) and pension contributions but excludes any overhead payment. Any costs associated with a member of academic staff not working directly on a project, such as sick leave, training days and maternity leave are ineligible.
- 2. **Travel and Subsistence Costs:** cost of economy travel and subsistence to attend meetings and other events directly associated with the undertaking of a project. All costs must be reasonable and, in the case of a university member of staff incurring costs, must align with their relevant institutional policy all travel and accommodation must also be booked through their centrally appointed travel management company. For costs incurred by staff employed by a company or third sector organisation, this must align with the maximum cost limits included within the Travel and Expenses Policy operated by Heriot-Watt University<sup>1</sup>.
- 3. **Consumables**: costs for any materials directly used in a project, including raw materials, chemicals and other similar items, including for those used for producing prototypes or in the development or testing of innovative products, processes or services; additional eligible costs include materials and copying costs for report production, and basic office consumables.
- 4. **Equipment:** costs for new machinery, tools and technology essential for the undertaking of a project that cannot be accessed elsewhere, noting that desk-based or portable 'office' IT equipment and the depreciation cost of existing equipment are not eligible costs.

<sup>&</sup>lt;sup>1</sup> Travel and Expenses Policy, Heriot-Watt University

- 5. Facilities Access: costs for access to University or third-party testing facilities or equipment.
- 6. Other Directly Incurred: where project costs are identified and are directly attributable to a project's likely success, but do not fall within the above definitions or judged to be in-kind contributions, these should be discussed with the Innovation Development Manager to determine eligibility. Such costs might include those related to market assessment studies, regulatory compliance costs or software licence costs.
- 7. **VAT:** where a company or third party organisation is not registered for VAT, it can claim VAT as an eligible project cost (i.e. irrecoverable VAT). Universities can include VAT as an eligible project cost.

#### **In-Kind Contributions**

The following costs are not eligible for funding and will be treated as an 'in-kind' contribution to a project:

- 1. The University overhead costs associated with the academic time contribution on a project such overhead costs are calculated on the basis of full economic costing, as defined by the UK research councils.
- 2. The cost of the time of company/third sector staff engaged on a project.
- 3. The cost of company/third sector overheads arising from the undertaking of a project.
- 4. The cost associated with accessing equipment, facilities or other similar resources within the company/third sector organisation, necessary for the undertaking of a project.
- 5. Subject to paragraph '7. VAT' above, any tax liabilities arising from the award of an innovation grant.

It is recognised that there may be other potential in-kind contributions from either the University or the company/third sector organisation, identified on a project case-by-case basis.

**April 2025**