

# SUPPORTING FAMILY LIFE PARTIALLY GLOBAL WHOLLY UK

Overarching Policy March 2025

Approving authority: UE

Consultation via: CJNCC, GOE, UE

Approval date: Oct 2021, Mar 2022, Sept 2023, April 2024

Effective date: March 2025

Review period: Five years from date of approval

Responsible Executive: Global HR Director

Responsible Office: HR

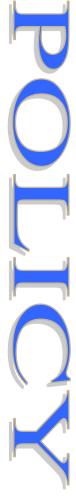
# **HERIOT-WATT UNIVERSITY**

# SUPPORTING FAMILY LIFE OVERARCHING POLICY

# **CONTENTS**

# **Contents**

INTRODUCTION	3
SCOPE	3
KEY PRINCIPLES	4
DEFINITIONS	4
TIME OFF TO ATTEND ANTENATAL APPOINTMENTS – UK	6
MATERNITY LEAVE AND PAY – UK	7
PATERNITY LEAVE AND PAY -UK	8
TIME OFF TO ATTEND ADOPTION APPOINTMENTS - UK	9
ADOPTION LEAVE AND PAY - UK	9
SHARED PARENTAL LEAVE AND PAY – UK	11
NEONATAL CARE LEAVE - UK	13
PARENTAL LEAVE AND PAY – UK	15
CARERS' LEAVE AND PAY – GLOBAL	15
EMERGENCY DEPENDANTS LEAVE AND PAY-UK	16
COMPASSIONATE LEAVE AND PAY – Global	17
PARENTAL BEREAVEMENT LEAVE AND PAY - GLOBAL	17
TERMS AND CONDITIONS – UK SPECIFIC	19
ANNUAL LEAVE	20
PENSION – UK SPECIFIC	20
CHILD CARE VOUCHERS – UK SPECIFIC	20
RIGHTS ON AND AFTER RETURN TO WORK	20
ABUSE OF RIGHTS	21
PROCEDURES FORMING PART OF THIS POLICY	21
MONITORING AND EVALUATION	22
RELATED POLICIES, PROCEDURES AND FURTHER REFERENCE	≣21
FURTHER HELP AND ADVICE	22
POLICY VERSION AND HISTORY	22



# 1. INTRODUCTION

The purpose of this Supporting Family Life Overarching Policy is to set out employees' entitlements to, and the scope of, the following types of family-related leave and payment:

- Maternity Leave (UK)\*
- Paternity Leave (UK) \*
- Adoption Leave (UK)
- Parental Leave (UK)
- Shared Parental Leave (UK)
- Time off to attend antenatal appointments (UK)
- Time off to attend adoption appointments (UK)
- Neonatal Leave (UK)\*
- Carers' Leave (Global)
- Emergency Dependants Leave (UK)
- Compassionate Leave (Global)
- Parental Bereavement Leave (Global)

There is a separate Procedure for each of the above types of family-related leave, all linked to this overarching Policy.

\*Separate Maternity and Paternity Leave Procedures exist for Dubai and Malaysia employees and can be found <a href="https://example.com/here-for-bubai-staff">here-for Dubai staff</a> and <a href="https://example.com/here-for-bubai-staff">here-for Dubai staff</a>

# 2. SCOPE

This Policy and linked Procedures applies to all employees of the University in the UK.

Where specifically noted, sections of this Policy and Procedures also apply to Dubai and Malaysia colleagues. Staff not based in the UK should contact Human Resources for advice about their rights in respect of other family related leave not specified as Global.

This Policy does not form part of any contract of employment and the University may amend it at any time.

The scope of each type of family-related leave and payment is set out in the Policy below. Full details and the process for applying for each type of leave can be found in the relevant Procedure on the <a href="https://example.com/hr-nc-nd/4">HR Policy Web Page</a> under Family Friendly Policies.



#### 3. **KEY PRINCIPLES**

- Heriot-Watt University is committed to providing, creating and maintaining a supportive environment which promotes equality across our University community.
- We recognise that our colleagues have busy and varied lives both in and out of work. We wish to encourage and support colleagues who are, or who wish to become, parents or carers to balance their home and work commitments without detriment and to support career development for everyone who seeks to progress.
- Therefore, wherever possible, we will seek to enhance the basic statutory entitlement to leave and pay.
- We seek to apply our Policies and Procedures globally where possible and comparable in overall reward where different procedures are required.
- Where possible, if the entitlement derives from UK Statutory Legislation (e.g., maternity, paternity, shared parental, adoption) some elements of the statutory entitlement are paid at a higher rate in the UK; or the eligibility requirements are more generous (e.g. "day one" rights rather than a qualifying period).
- In line with our commitment to create and maintain a supportive environment, a flexible approach to dealing with non-statutory time off should be encouraged. For example, a short-term adjustment of hours or working from home may be more appropriate, supportive and productive than taking time off. This would not apply to the statutory leave entitlements outlined in this Policy, although flexible options alongside time off are also encouraged.
- Where the recommended time off is not linked to a statutory UK entitlement. for example as set out in Carers' or Compassionate Leave, it should be considered as a consistent base line rather than the maximum time off. Where "further time off at the discretion of management" is stated, there should be positive and proactive discussions with the individual recognising each individual has different needs and reactions. However, there may be genuine operational reasons why non-statutory time off is not possible in any given situation. If so, managers should discuss this with the employee and provide an objective reason why this is not possible.

This Policy and its linked procedures recognise and incorporate Athena SWAN principles.

The implementation and application of this Policy reflects the Heriot-Watt Values

#### 4. **DEFINITIONS**

# **Carer (NHS England Definition)**

A carer is anyone, including children and adults who regularly looks after a dependant with a long-term care need. This may be a family member, partner or friend who needs help because of their long-term illness, frailty, disability, a mental health problem or an addiction and cannot cope without their support. The care they give is unpaid.

Caring responsibilities may be temporary

but need to meet the definition above.

legislative changes Author: HR Laura Colguhoun

Carers' Register	The register is a formal list of employee who have identified themselves as care and satisfied the definition of a Carer as set out in this Policy and who have
Dependant	The husband, wife, civil partner, partner child or parent of the employee; or
	someone who lives in the same household as the employee, e.g., an elderly aunt or grandparent who are dependent on the employee; or anyone for whom the employee holds power of attorney.
Expected Week of Childbirth	The week, starting on a Sunday, during which your doctor or midwife expects the child to be born, or in adoption cases, the week in which you are notified that you have been matched with a child for adoption.
KIT Day (UK)	"Keeping in Touch" Day – up to 10 days paid time to attend work or training during maternity/adoption leave, withou that work or training bringing your maternity/adoption leave and pay to an end
Mother /birth parent	In birth cases, the child's birth mother; adoption cases, the person with whom the child is, or expected to be, placed; and in surrogacy cases, the parent who has elected to take adoption leave in respect of the child.
OAP (UK)	Occupational Adoption Pay
OMP (UK)	Occupational Maternity Pay



	0000 (11/0)	0	
	OSPP (UK)	Occupational Shared Parental Pay	
	Partner	The father of the child, or the person who is married to, or the civil partner or partner of the Mother. A 'partner' is someone (whether of a different sex or the same sex) with whom the Mother lives in an enduring family relationship, but who is not the Mother's parent, grandparent, sister, brother, aunt or uncle.	
	Qualifying Relationship	Refers to entitlement to time off to attend antenatal appointments as a partner of the pregnant woman if you are either:  • the baby's father.  • the pregnant woman's spouse, civil partner or cohabiting partner; or  • one of the intended parents in a surrogacy arrangement and expect to obtain a parental order in respect of the child.	
	Qualifying Week	The 15th week before the Expected Week of Childbirth.	
	SAP (UK)	Statutory Adoption Pay	
	SMP(UK)	Statutory Maternity Pay	
	SPL (UK)	Shared Parental Leave	
	SPLIT Day (UK)	"Shared Parental Leave in Touch" Day – up to 20 days' paid time to attend work or training during shared parental leave, without bringing the period of leave and pay to an end	
	SPP (UK)	Statutory Paternity Pay	
	SSPP (UK)	Statutory Shared Parental Pay	
5.	TIME OFF TO ATTEND ANTENATAL APPOINTMENTS – UK		
	Who is eligible and what am I entitled to?  Mothers/birth parents  If you are pregnant, you are entitled to take reasonable paid time off work to attend antenatal appointments, as advised by your doctor.  Partners  If you have a "qualifying relationship" with either a pregnant woman, or the child, you		

If you have a "qualifying relationship" with either a pregnant woman, or the child, you are eligible to take paid time off to accompany them to up to two antenatal appointments. A "qualifying relationship" means that you are either:

- the baby's father; or
- the pregnant woman's spouse, civil partner or cohabiting partner; or one of the intended parents in a surrogacy arrangement and expect to obtain a parental order in respect of the child.

Version 1.8 First approved October 2019 updated Sept 2023 approved by UE; refreshed April 2024 to meet legislative changes

# 6. MATERNITY LEAVE AND PAY – UK

For Dubai employees, please see <u>here</u>. For Malaysia employees, please see <u>here</u>.

# **Maternity Leave**

# Who is eligible?

If you are pregnant, you are eligible to take maternity leave, regardless of the number of hours you work or your length of service.

# What am I entitled to?

Up to 26 weeks' ordinary maternity leave and up to 26 weeks' additional maternity leave, making a total of 52 weeks' leave. Additional maternity leave begins on the day after ordinary maternity leave ends. You must take at least two weeks' maternity leave following the birth of your child.

If, tragically, you were to lose your child at 25 weeks or beyond, you have the statutory right to take your full maternity leave and relevant pay.

# **Maternity Pay**

The University offers Occupational Maternity Pay (OMP).

There is no length of service requirement to receive occupational maternity pay, you simply need to be employed by the University by the time you start maternity leave.

#### Statutory Maternity Pay

You may also qualify for Statutory Maternity Pay (SMP) if you have a minimum of 26 weeks services prior to the end of the Qualifying Week and have average earnings above the "lower earnings limit" for national insurance purposes for the relevant period (usually the 8 weeks before the Qualifying Week). Those entitled to SMP will receive this directly from the University as part of the Enhanced Occupational Maternity Pay and is payable for up to 39 weeks during maternity leave.

Those not eligible for SMP will be issued with a SMP1 form which should be used to claim Maternity Allowance (MA). To find out more and/or claim Maternity Allowance please visit <a href="http://www.gov.uk./maternity-allowance">http://www.gov.uk./maternity-allowance</a>.

Payroll will adjust any Maternity Pay amount to account for Maternity Allowance to ensure no overpayment is made, unless otherwise notified (i.e. where Maternity Allowance is not being claimed or an individual is not eligible). Colleagues who are not claiming Maternity Allowance or are not eligible to do so should notify payroll as soon as possible to ensure their maternity pay is accurate.

Occupational Maternity Pay plus Statutory Maternity Pay or Maternity Allowance may not exceed full normal pay. In instances where this is the case then a reduction will be made so that full pay is not exceeded.

#### **Maternity Pay Options**

The different options for employees are shown below:

#### Option 1

8 weeks paid at full pay, followed by 18 weeks at half-pay, followed by 26 weeks unpaid.

If you are eligible for SMP, you will receive SMP in addition to half pay, followed by 13 weeks SMP only, then 13 weeks unpaid.



#### Option 2

17 weeks paid at full pay, followed by 35 weeks unpaid.

If you are eligible for SMP, you will receive 22 weeks SMP following the full pay period, then 13 weeks unpaid.

For either option, SMP or MA elements will be paid at the appropriate rate, provided the employee meets the eligibility criteria laid out by the <u>Government</u>.

During full pay periods, SMP or MA is included. No employee may receive more than full pay. If you are in receipt of MA, this needs to be declared to the University.

For the first six weeks SMP is paid at the higher rate, which is equivalent to 90% of your average weekly earnings calculated over the period of eight weeks up to and including the Qualifying Week. For the purpose of calculating average weekly earnings, shift allowances, overtime payments, bonuses and commission are all included.

The standard rate of SMP is paid for the remaining 33 weeks (or less if you return to work sooner). This is paid at a rate set by the Government for the relevant tax year, or 90% of your average weekly earnings calculated over the period of eight weeks up to and including the Qualifying Week if this is <u>lower</u> than the Government's set weekly rate.

If you become eligible for a Cost of Living Pay Award between the start of the original calculation period and the end of your maternity leave, the higher or standard rate of SMP will be recalculated to take account of your increase in salary, regardless of whether SMP has already been paid. This means that your SMP will be recalculated and increased retrospectively, or that you may qualify for SMP if you did not previously. You will be paid a lump sum to make up any difference between SMP already paid and the amount payable as a result of the pay rise.

SMP is treated as earnings and is therefore subject to PAYE and national insurance deductions. The current weekly rate of SMP can be found here.

Payment of SMP cannot start prior to the 11th week before your Expected Week of Childbirth. SMP can start from any day of the week in accordance with the date you start your maternity leave.

If you qualify for SMP but leave your job at the University for any reason, you are still entitled to SMP. However, if you start work for a different employer you cannot receive SMP for any week in which you carry out work for the new employer.

#### 7. | PATERNITY LEAVE AND PAY - UK

For Dubai employees, please see

https://heriotwatt.sharepoint.com/sites/hr-guidanceformanagers/SitePages/Dubai-Policies.aspx

# **Paternity Leave**

#### Who is eligible?

If you are the biological father of the child or the Mother's Partner, you will be entitled to take paternity leave regardless of your length of service, provided you are employed by the University by the baby's due date and have, or expect to have, responsibility for the upbringing of the child and be making the request to help care for the child or to support the child's Mother.



Note: If you intend to take a period of shared parental leave, you must take any period of paternity leave before this. Paternity leave cannot be taken after you have taken a period of shared parental leave.

# What am I entitled to?

For babies whose expected week of childbirth or date of placement for adoption is after **6 April 2024**, the entitlement is:

- Two blocks of one week leave or
- One block of two weeks' leave.

This leave can be taken at any point in the first 12 months after the birth or adoption.

If, tragically, you were to lose your child at 25 weeks or beyond, you would still be entitled to take Paternity Leave and pay.

# **Paternity Pay**

You are entitled to full contractual pay when you take Paternity Leave<sup>1</sup>.

# 8. TIME OFF TO ATTEND ADOPTION APPOINTMENTS - UK

# Who is eligible and what am I entitled to?

Couples who are adopting a child or children with another (joint adopters) may elect for one of them to take **paid** time off to attend up to five adoption appointments, while the other may take **paid** time off to accompany them to two appointments. If you are adopting on your own, you can take **paid** time off to attend up to five adoption appointments.

Adoption appointments are appointments made by an adoption agency relating to a child being placed for adoption or for a fostering for adoption placement. You may take time off to attend an adoption appointment once the agency has notified you that a child is to be placed with you for adoption but before the child is actually placed with you.

# 9. ADOPTION LEAVE AND PAY - UK

#### **Adoption Leave**

#### Who is eligible?

If you have been matched with a child for adoption, either jointly<sup>2</sup> or individually, you are entitled to take adoption leave, regardless of the number of hours you work or your length of service.

If you have a child placed with you under a local authority "fostering for adoption" or "concurrent planning" arrangement or are entering into a surrogacy arrangement under which you will be applying for a parental order, you may also be entitled to adoption leave and pay. Please contact HR for more information.

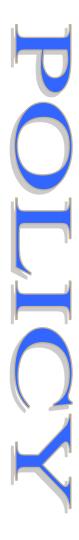
You will not qualify for adoption leave or pay if you:

- arrange a private adoption,
- become a special guardian,
- adopt a stepchild.

If you are adopting a child from overseas, different rules apply. If this is the case, then you should discuss this with a member of the HR Team.

<sup>&</sup>lt;sup>2</sup> Only one parent may take adoption leave.





<sup>&</sup>lt;sup>1</sup> Full pay includes Statutory Paternity Pay for those who are eligible to receive it.

#### What am I entitled to?

Up to 26 weeks' ordinary adoption leave and up to 26 weeks' additional adoption leave, making a total of 52 weeks. You may start your adoption leave either from the date of the child's placement (whether this is earlier or later than expected), or from a fixed date which can be up to 14 days before the expected date of placement. Leave can start on any day of the week.

#### **Adoption Pay**

The University offers Occupational Adoption Pay (OAP). This is equivalent to your normal full pay i.e. the salary payment you usually receive for your contractual working hours.

There is no length of service requirement to receive Occupational Adoption Pay, you simply need to be employed by the University at the Matching Week.

# Statutory Adoption Pay (SAP)

You may also qualify for Statutory Adoption Pay (SAP) if you have a minimum of 26 weeks service prior to the week in which you are notified of being matched with a child (or you have received "official notification" in the case of an overseas adoption) and have average earnings above the "lowers earnings limit" for national insurance purposes for the relevant period. If you are entitled to SAP, you will receive this directly from the University and payable for up to 39 weeks during adoption leave.

#### **Adoption Pay Options**

The different options for employees are shown below:

# Option 1

8 weeks paid at full pay, followed by 18 weeks at half-pay, followed by 26 weeks unpaid.

If you are eligible for SAP, you will receive SAP in addition to half pay, followed by 13 weeks SAP only, then 13 weeks unpaid.

#### Option 2

17 weeks paid at full pay, followed by 35 weeks unpaid.

If you are eligible for SAP, you will receive 22 weeks SAP following the full pay period, then 13 weeks unpaid.

For the first six weeks SAP is paid at the higher rate, which is equivalent to 90% of your average weekly earnings calculated over the period of eight weeks up to and including the date you are matched with a child. For the purpose of calculating average weekly earnings, shift allowances, overtime payments, bonuses and commission are all included.

The standard rate of SAP is paid for the remaining 33 weeks (or less if you return to work sooner). This is paid at a rate set by the Government for the relevant tax year, or 90% of your average weekly earnings calculated over the period of eight weeks up to and including the week that you are matched with a child, if this is <u>lower</u> than the Government's set weekly rate.

If you become eligible for a Cost of Living Pay Award between the start of the original calculation period and the end of your adoption leave, the higher or standard rate of SAP will be recalculated to take account of your increase in salary, regardless of whether SAP has already been paid. This means that your SAP will be recalculated and increased retrospectively, or that you may qualify for SAP if you did not previously. You will be paid a lump sum to make up any difference between SAP already paid and the amount payable as a result of the pay rise.



SAP is treated as earnings and is therefore subject to PAYE and national insurance deductions. The current weekly rate of SAP can be found here.

If you qualify for SAP but leave your job at the University for any reason, you are still entitled to SAP. However, if you start work for a different employer you cannot receive SAP for any week in which you carry out work for the new employer.

If you do not qualify for SAP, you should discuss the situation with a member of the HR Team. You may be able to apply for annual leave or parental leave over the period of adoption.

# 10. SHARED PARENTAL LEAVE AND PAY – UK

# Who is eligible for Shared Parental Leave (SPL)?

You are eligible to take SPL if:

- you share responsibility with your partner for the care of your child at the time of their birth/placement;
- you are employed by the University at the start of the leave;
- your partner has worked (in an employed capacity) at least 26 of the 66 weeks before the Expected Week of Childbirth or by the end of the week your child was placed with your family and earned an average of at least the minimum set by the Government<sup>3</sup> in total across any 13 of the 66 weeks.
- you must each earn on average at least £120 a week.
- You/your partner (the mother/lead adopter) curtails their entitlement to maternity/adoption leave and pay.
- You/your partner give the necessary notices and declarations as summarised in the SPL Procedure;

# Who is eligible for pay?

# **Occupational Shared Parental Pay (OSPP)**

The University offers Occupational Shared Parental Pay (OSPP). Unlike Statutory Shared Parental Pay (SSPP), there is no length of service requirement to receive Occupational pay.

# You will be eligible for OSPP if you:

- eligible for Shared Parental Leave.
- are employed by the University at the start of the leave.
- have provided the right notice and evidence required as set out in this Procedure;

# **Statutory Shared Parental Pay (SSPP)**

#### You are eligible for SSPP if:

- you have 26 weeks continuous service at the qualifying week/matching week.
- you have earned above the <u>Lower Earning Limit for National Insurance</u>
  Contributions in the eight weeks prior to the qualifying/matching week, and;
- your partner has statutory maternity/adoption pay left to share with you.

You can check if you are entitled to SPL and SSPP here. You'll need to know:

- your child's due date or birth date or the match date if you're adopting,
- your and your partner's employment status and earnings,



11

<sup>&</sup>lt;sup>3</sup> https://www.gov.uk/shared-parental-leave-and-pay

if you and your partner can get SMP or SPP.

#### What am I entitled to?

All entitlement to Shared Parental Leave and Pay will depend on the number of weeks the mother has spent on maternity/adoption leave, or the weeks in which the Mother has been in receipt of SMP, SAP or maternity allowance.

#### Shared Parental Leave (SPL)

You and your partner can share up to 50 weeks' leave in the first year after the child is born/placed with your family, as the mother/lead adopters must take a minimum of two weeks' maternity/adoption leave immediately following the birth/placement of their child.

As a partner, two weeks' paternity leave and pay must be taken before SPL as you will lose your entitlement to this leave and pay once SPL starts.

You can use SPL to take leave in blocks separated by periods of work or take it all in one go. You can also choose to be off work together or to stagger the leave and pay.

To calculate how many weeks of SPL you and your partner are entitled to, you need to deduct any weeks spent by the Mother on maternity or adoption leave (or the weeks in which the Mother has been in receipt of SMP, SAP or maternity allowance if she is not entitled to maternity/adoption leave) from the Mother's total maternity/adoption leave entitlement of 52 weeks. The remaining weeks may be taken as SPL.

Mothers cannot start SPL until 2 weeks after the birth of the child. If Partners intend to take paternity leave, this must be taken before any period of SPL, otherwise it will be lost. The 2 week period of paternity leave is in addition to any period of SPL.

#### **SSPP**

Provided that you satisfy the qualifying conditions noted above, you can share up to 37 weeks of SSPP with your partner. As a Mother must take 2 weeks' maternity or adoption leave prior to SPL commencing, the maximum amount of SSPP available to you is 37 weeks. You may also be entitled to Occupational Shared Parental Pay (OSPP) – see below for further details.

SSPP will stop being payable if you return to work (except where you attend work for a 'SPLIT' day, as detailed below). Any SPL in excess of the 37-week SSPP period is unpaid.

The weekly rate of statutory SSPP is the lower of:

- the prescribed rate which is set by the Government for the relevant tax year, or the Earnings-Related Rate if this is lower; and
- 90% of the normal weekly earnings of the employee.

The current weekly rate of SSPP can be found <a href="here">here</a>:

Both OSPP and SSPP are treated as earnings and are therefore subject to PAYE and national insurance deductions.

# Occupational Shared Parental Pay (OSPP)

Like SSPP, your entitlement to OSPP will depend on how many weeks of Occupational Maternity Pay (OMP), SMP or SAP the Mother has claimed. As a Mother/lead adopter must take 2 weeks' maternity or adoption leave prior to SPL commencing, the maximum amount of OSPP available to you is 37 weeks. We have



set out below how you can calculate your entitlement to OSPP if you are a Mother or a Partner.

The maximum OSPP entitlement is 15 weeks leave on full pay, followed by 35 weeks unpaid leave. This will reduce depending on the leave/pay taken by the Mother.

If you are also entitled to SSPP, you will also receive 22 weeks of SSPP following the full pay period and then 13 weeks unpaid leave. You will cease to be entitled to OSPP if you cease to meet the eligibility requirements for SSPP or SPL.

#### OSPP for Mothers

If you have received any occupational maternity pay or occupational adoption pay and intend to claim OSPP, no combination of payments (i.e. OSPP plus occupational maternity or adoption pay) will exceed the number of weeks of OMP as per the maternity pay option selected (on the basis that the Mother has taken 2 weeks' compulsory maternity/adoption leave):

# OSPP for Partners

Your entitlement to OSPP will be reduced by the number of weeks of OMP, SMP, SAP, maternity allowance or SSPP that the Mother has taken. To calculate how many weeks of OSPP you are entitled to, you should deduct any weeks of SMP, SAP, maternity allowance or SSPP claimed by the Mother from the Mother's total entitlement of 39 weeks.

# Both parents employed by the University

Where both parents work for the University, the combined occupational payments made to both employees (including any occupational maternity, adoption or shared parental pay) will not exceed the total occupational maternity pay as per the option selected (see OMP options above)

Leave should be requested in line with the Procedure for Applying for SPL and SSPP on the HR Policy Web Page under Family Friendly Policies.

# 11. | NEONATAL CARE LEAVE AND PAY - UK

# Who is eligible for Statutory Neonatal Care Leave? (SNCL)

You are eligible for SNCL if you are the child's parent and have responsibility for the upbringing of the child; or are the partner of the child's mother and have main responsibility for the upbringing of the child (apart from the mother).

Eligibility for neonatal care leave is a day one right for all employees, regardless of length of service with the university.

You will be eligible for neonatal leave if your baby is born on or after the 6<sup>th</sup> of April 2025 and is admitted to neonatal care within the first 28 days of birth, for 7 or more consecutive days. (The 7 days are calculated from the day after birth/ after care started).

#### Does Neonatal care need to be in hospital?

Neonatal care could start in hospital but may then include care received in any other setting under the direction of a consultant.

#### What am I entitled to?

You are entitled to take up to 12 weeks of SNCL, in addition to maternity/ paternity leave, however the leave must be taken within 68 weeks of your child being born.



You can take 1 week of SNCL for every uninterrupted week your baby receives neonatal care. The minimum leave that can be taken is 1 week, with the maximum being 12 weeks of leave, even in case of multiple births.

# When can I take SNCL?

Leave can start on the day after your baby has received 7 days of uninterrupted neonatal care, (the 7 days are calculated from the day after birth/ after care started).

# Example

Your child is born on the 6<sup>th</sup> of April and starts receiving neonatal care on that day. The 7 uninterrupted days of care starts calculating from the 7<sup>th</sup> of April, meaning you can start SNCL from the 14<sup>th</sup> of April.

#### How can I take SNCL?

SNCL is available to be taken within 2 timescale periods.

Option 1 – Period during when your baby receives neonatal care until 7 days after the neonatal care stops. You can start taking leave after 7 consecutive days following the first day of neonatal care, up to a maximum of 12 weeks. Therefore, leave cannot start until week 2 of neonatal care. Leave can be taken in non-continuous blocks, 1 week at a time. The period of leave ends on the seventh day after the day baby stops receiving care.

Option 2 – Period after Option 1 timeframe. You can take leave at any other time, and it can be taken in one continuous block up to 12 weeks, within 68 weeks of your child's birth.

# How do I request SNCL?

To request leave please email your manager with the below details and cc HR where possible. We appreciate this may be a difficult time for you however we ask you please notify your manager of leave needed as soon as is reasonably practical to allow your request for leave to be reviewed as timely as possible. Your manager should then inform HR to ensure your leave is input to ERP and any other statutory leave and pay is amended and correct where required. You will receive confirmation of this is writing. Please include the below in your notification email:

- Your name
- babies birth date
- date neonatal care started
- date neonatal care ended (or is expected to end)
- requested SNCL start date
- number of SNCL weeks you are requesting to take

Regular contact should be agreed between yourself and your line manager throughout this time in case of dates changing, or where expected end date of neonatal care and leave is unknown.

#### What happens to your other statutory leave?

If you have already commenced other statutory leave, such as maternity or paternity leave, and are eligible for SNCL, the SNCL can be added on to the end of your statutory leave, provided it falls within 68 weeks of your child being born.

#### What will I be paid during SNCL?

If eligible, you will be entitled to statutory neonatal care pay if you have been employed for 26 weeks by the end of the 15<sup>th</sup> week before expected week of childbirth and meet the Lower Earnings Limit. The rate of statutory neonatal care pay



is set by the Government for the relevant tax year, or at 90% of your average weekly earnings (whichever is lower).

# Neonatal Care Pay and Leave: Overview - GOV.UK

What happens to my statutory neonatal care leave and pay if I have already started maternity/ paternity leave and in receipt of those payments?

If you have already commenced other statutory leave and receiving statutory or enhanced payment for that, your SNCL and statutory pay for SNCL may be added to the end of the leave already commenced. You will need to inform your line manager the date you want your SNCL and pay to commence.

#### Example

Your child is receiving neonatal care, but you have already commenced maternity leave and are receiving payment for this (either enhanced or statutory). You must request SNCL as above, notifying your line manager how long your child receives neonatal care for and confirm if you would like to take neonatal leave at the end of your maternity leave (as long as this falls within 68 weeks of childbirth). Alternatively, you may choose to end your maternity leave early, for instance in cases where you have reached no pay, to commence neonatal leave. Once maternity leave is stopped however, it cannot be reinstated.

Help and support is available to you throughout from both the University and our Employee Assistance programme, details of which are on page 22 of this policy.

# 12. PARENTAL LEAVE AND PAY – UK

#### **Parental Leave**

Who is eligible?

You are eligible for parental leave if you have one year's continuous service and:

- are a named parent or have acquired formal parental responsibility for a child:
- have adopted a child; or
- are a named parent or adoptive parent of a child who has been awarded disability living allowance.

A child means an individual under the age of 18.

#### What am I entitled to?

Up to 18 weeks unpaid leave can be taken per child (pro rata for part-time employees). If you have twins, you will be entitled to 18 weeks leave for each child. Leave must be taken in blocks or multiples of one week. A maximum of four weeks parental leave in any one year can be taken in respect of any individual child. If your child is disabled the leave can be taken in blocks or multiples of one day.

#### **Parental Leave Pay**

Parental Leave is unpaid.

# 13. CARERS' LEAVE AND PAY - GLOBAL

# Carers' Leave

# Who is eligible?

In line with the NHS England definition, a carer is anyone, including children and adults who regularly looks after a dependant with a long-term care need such as a family member, partner or friend who needs help because of their long-term illness, frailty, disability, a mental health problem or an addiction and cannot cope without their support. The care they give is unpaid.



1



You are eligible to apply for carers' leave regardless of your length of service, if you meet the required definition of a carer set out above. The leave can only be taken for dependants for whom you have a caring responsibility. You may meet the definition of a carer on a permanent or temporary basis.

Employees with day-to-day childcare responsibilities that fall outside of this definition should refer to the relevant sections of this policy on how to support family life.

The activities that staff with caring responsibilities undertake can be wide ranging, including, but not limited to:

- help with personal care,
- help with mobility,
- managing medication,
- practical household tasks.
- emotional support,
- attending medical appointments,
- help with financial matters or paperwork.

Carers may also need time off work to fulfil other caring requirements such as (but not limited to):

- Attending medical appointments with a dependant
- Dealing with a dependant's discharge from hospital
- To provide support during post-operative recovery
- Setting up a care package with a local authority
- Providing emotional and/or practical support to a dependant who is particularly vulnerable, physically or mentally.

If you are a carer under the definition outlined above, we invite you to join the Carer Register. This is a formal list of employees who have identified themselves as carers and will be used as means of offering support. The information held on this register will only be available to your own Line Manager and Human Resources. You can register using the form found on the HR Policy Web Page under Family Friendly **Policies** 

#### What am I entitled to?

Employees can request up to 5 days paid leave and a further 5 days unpaid leave in a rolling 12-month period. Carers' leave may be taken as a continuous period or as individual days or half days throughout the 12-month period. The entitlement remains the same, regardless of the number of dependants you have caring responsibilities for.

Carers' leave can be requested in addition to the other leave available in this Policy that may be applicable to time off for caring for dependants.

Carers' leave should be requested in line with the Procedure for Requesting Carers' Leave on the HR Policy Web Page under Family Friendly Policies.

#### 14. **EMERGENCY DEPENDANTS LEAVE AND PAY-UK**

#### **Emergency Dependants Leave**

# Who is eligible?

You are eligible to apply for Emergency Dependants Leave regardless of your length of service. The leave can only be taken in respect of dependants, which means your husband, wife, civil partner, partner, child or parent. It also includes someone who lives in the same household as you. For example, this could be an elderly aunt or grandparent who lives in the household. It does not include tenants or boarders

legislative changes Author: HR Laura Colquhoun living in the family home, or someone who lives in the household as an employee, such as a live-in housekeeper.

In cases of illness or injury, or where care arrangements break down, a dependant may also be someone who reasonably relies on you for assistance. This may be where you are the primary carer or the only person who can help in an emergency; for example, an aunt who lives nearby who you look after outside work falls ill unexpectedly, or an elderly neighbour living alone who falls and breaks a leg, where you are closest on hand at the time of the fall.

#### What am I entitled to?

The amount of time off that you are entitled to will vary according to the circumstances of the particular emergency. It may be for a small proportion of the working day or for the whole day. In most cases, the amount of time off will not exceed one or two days. You have the opportunity to make the time up later or to use some of your annual leave. If you have already previously worked in excess of your contracted hours without being paid then it would be reasonable to allow you the time off. Line managers should use discretion, compassion and common sense in these situations. If none of the options outlined are possible then the time off to deal with the emergency is unpaid.

Emergency Dependants Leave is usually <u>unpaid</u>; however, you may have the opportunity to make the time up later or to use some of your annual leave. If you have already previously worked in excess of your contracted hours without being paid then it would be reasonable to allow you paid time off.

Emergency Dependants Leave is meant for <u>short-term emergency situations</u>, to enable you to make immediate arrangements. Should you need more time off please see other parts of this Overarching Policy and discuss with your Line Manager suitable additional support, which may also include making use of Carers' Leave

#### 15. COMPASSIONATE LEAVE AND PAY - Global

#### **Compassionate Leave**

#### Who is eligible?

You are eligible to apply for Compassionate Leave, regardless of your length of service.

#### What am I entitled to?

This will depend on the particular circumstances of each situation, but the minimum entitlement is usually paid time off to attend the funeral of a family member, close friend or colleague. Compassionate Leave may also apply in other circumstances; for example, if a close family member, friend or former long-standing partner is involved in an accident or is diagnosed with, or is in the final stages of, a terminal illness; or where someone has suffered a pregnancy-related loss. The amount of time off will vary according to the circumstances, with the maximum entitlement usually being up to 5 days of paid leave. Line managers should use discretion, compassion and common sense in these situations. Please see further information below with regards to the death of a child.

# **Compassionate Leave Pay**

Compassionate Leave is usually paid up to a maximum of 5 days. Malaysia staff are normally only entitled to three days leave for immediate family members (legal spouse, parents and parents-in-law).

# 16. PARENTAL BEREAVEMENT LEAVE AND PAY - GLOBAL

eet

#### Who is eligible?

You are eligible to take Parental Bereavement Leave, regardless of your length of service. if:

- You are the parent of the child.
- You are the partner of the child's parent. You lived in an enduring family relationship with the child and their parent.
- You are the "parent in fact" of the child. You were, for a continuous period of at least four weeks before the child passed away, living with the child and had "day-to-day responsibility" for the child, but you were not paid to care for the child.
- You are the child's adopter <sup>4</sup>within the UK. The child was placed with you for adoption within the UK and the placement has not been disrupted.
- The child was living with you following their entry into the UK for the purpose of adoption and you have received official notification in respect of the child.
- You are the "natural parent" of a child who has passed away who is named in a court order, i.e. where a court orders some contact for an adopted child's birth parent.
- You are the "intended parent" of the child (i.e. a parent using a surrogate in the UK).
- You or your partner suffer a stillbirth after 24 weeks of pregnancy<sup>5</sup>.

#### What am I entitled to?

You can take two weeks of paid parental bereavement leave within 56 weeks of the child's death, taken as either:

- a single block of two weeks; or
- two separate week blocks at different times

In line with the Parental and Bereavement (Leave and Pay) Act 2018, you cannot take the leave as individual days.

If you have lost more than one child, you have a separate entitlement to be reavement leave for each child who has passed away.

If you wish to begin the leave within the first 56 days after the child's death, you can take the leave straight away. You should advise your line manager/HR of your intention to take parental bereavement leave any time before your usual start time. However, we recognise that this may not be feasible therefore notice can also be provided as soon as it is "reasonably practicable" to do so.

If you wish to begin the leave more than 56 days after the child's death, you will be required to give at least one week's notice of your intention to take parental bereavement leave.

#### **Parental Bereavement Pav**

To receive Parental Bereavement Pay, you must be employed by the University on the date of the bereavement.

We recognise the need to provide bereaved parents with as much support as possible and will continue to pay normal pay during the two-week period of parental bereavement leave.

Managers should seek advice from HR if they wish to grant a longer period of paid leave.

<sup>&</sup>lt;sup>5</sup> You also have a statutory entitlement to take full maternity leave in these circumstances.





<sup>&</sup>lt;sup>4</sup>Adoption and surrogacy are not applicable in Dubai.

#### 17. TERMS AND CONDITIONS – UK SPECIFIC

# Maternity, Paternity, Adoption, Emergency Dependants and Shared Parental Leave

Your contract of employment will continue in force during any period of maternity, paternity, adoption, emergency dependants or shared parental leave and you are entitled to receive all your contractual benefits, including salary sacrifice schemes, except for salary.

#### **Parental Leave**

During unpaid parental leave your employment contract will continue for certain purposes and certain contractual benefits and obligations remain in force. Further information can be obtained from the HR Department.

# 18. ANNUAL LEAVE

# Maternity, Adoption and Shared Parental Leave<sup>6</sup>

As you will continue to accrue your full, contractual annual leave entitlement during your family-related leave, you and your line manager should work together to agree the most appropriate time for you to take this leave. You may take outstanding annual leave either at the beginning or at the end of your period of family-related leave.

A combination of taking some annual leave prior to your period of maternity, adoption or shared parental leave, and some afterwards, may be possible; as with all annual leave requests this must, however, be in agreement with your line manager and according to operational requirements.

We will not make payments in lieu of outstanding annual leave entitlement accrued during any period of family-related leave.<sup>7</sup>

# 19. PENSION – UK SPECIFIC

# Maternity, Paternity, Adoption and Shared Parental Leave

Pension contributions will continue to be made during any period when you are receiving occupational or statutory maternity, paternity, adoption or shared parental pay. Your contributions will be based on actual pay, while University contributions will be based on the salary that you would have received had you not taken maternity, paternity, adoption or shared parental leave. During any unpaid period of maternity, paternity, adoption or shared parental leave you and the University will not be required to make any contributions to your pension. However, you may be able to pay in additional contributions – you should contact the Payroll Department for further information via the <a href="Help Desk">Help Desk</a>

#### **Parental and Emergency Dependants Leave**

Pension contributions will not continue during any period of unpaid parental or emergency dependants leave. However, you may be able to pay in additional contributions – you should contact the Payroll Department for further information via the <a href="Help Desk">Help Desk</a>.

#### 20. CHILD CARE VOUCHERS - UK SPECIFIC

Version 1.8 First approved October 2019 updated Sept 2023 approved by UE; refreshed April 2024 to meet legislative changes



<sup>&</sup>lt;sup>6</sup> Maternity & Paternity Leave only in Dubai

<sup>&</sup>lt;sup>7</sup> Except for staff in Dubai where accrued leave is paid on termination

Heriot Watt University's Childcare Voucher scheme is now closed to new members. However, you can participate in the Governments New Tax-Free Childcare Scheme. For further information and details of this scheme visit here.

# 21. RIGHTS ON AND AFTER RETURN TO WORK

# Maternity, Paternity, Adoption, Shared Parental, Parental, or Parental Bereavement Leave

You will normally be entitled to return to the job in which you were employed before you took maternity, paternity, adoption, shared parental, parental leave or parental bereavement leave and on the same terms of employment.

However, if it is not reasonably practicable for the University to allow you to do so, we may give you another suitable and appropriate job on terms and conditions that are not less favourable, but only in the following circumstances:

- if the maternity, paternity, adoption, parental, shared parental, or parental bereavement leave you have taken adds up to more than 26 weeks in total; or
- if you took more than four weeks of parental leave.

Employees taking Maternity, Adoption or Shared Parental Leave in the UK also have additional protection to minimise the risk of potential redundancy for 18 months from the birth/placement of the child. For pregnant employees, this protection starts from the point at which you notify HR of your pregnancy, either formally or informally. Should restructures in which a reduction of jobs is one option under consultation; or you are on a fixed term or fixed funding contract due to end during this period, HR will contact you to outline what this means for you.

Upon your return to work you may make a request to change your hours or working pattern through the University's Flexible Working Policy. Requests for part-time work or other flexible working arrangements will be considered both to support where possible, colleagues' balance between home and work lives and the operational requirements of the University's business; however, there is no automatic right to make changes to your working patterns.

If you would like to consider an alternative working pattern, you should refer to the policy on Flexible Working and apply as soon as possible in advance of your return date, and with a minimum of three months' notice, so that there is adequate time for full consideration of the request.

#### Coaching

The Professional and Organisational Development Team within HR offers coaching to staff who are about to go on, or who are returning from, maternity, paternity, adoption or shared parental leave.

The aim is to provide additional support to you through this important time; for example, you may have concerns about how you can juggle your career aspirations with your parental responsibility and coaching can help you work through some of these issues, ensuring your transition back to work is as smooth as possible.

If you would like to find out more about coaching or meet with one of our experienced coaches from across the University, please contact <a href="mailto:pod@hw.ac.uk">pod@hw.ac.uk</a> or visit the <a href="mailto:Coaching">Coaching</a> page on the HR Hub. Further information about Maternity and Paternity Coaching is available here <a href="mailto:MaternityPaternityCoaching">MaternityPaternityCoaching</a>

# 22. ABUSE OF RIGHTS

Version 1.8 First approved October 2019 updated Sept 2023 approved by UE; refreshed April 2024 to meet legislative changes Author: HR Laura Colquhoun



Any abuse of this Policy and its linked Procedures is likely to result in disciplinary action being taken in accordance with the University's Disciplinary Policy.

# 23. PROCEDURES FORMING PART OF THIS POLICY

For each of the types of leave set out in this Policy, there is a supporting Procedure, which sets out details of how to apply, lines of responsibility, how leave is calculated and paid and any other action required. Each Procedure, together with the relevant form to complete to request the leave may be found on the <a href="https://example.com/hr Policy Web Page">HR Policy Web Page</a> under Family Friendly Policies:

- Applying for Maternity Leave
- Applying for Paternity Leave
- Applying for Adoption Leave
- Applying for Parental Leave
- Applying for Shared Parental Leave
- Applying for Emergency Dependants Leave
- Time off for Antenatal Appointments
- Time Off for Adoption Appointments
- Applying for Compassionate Leave
- Applying for Carer's Leave
- Applying for Parental Bereavement Leave

# 24. | MONITORING AND EVALUATION

This Policy and related Procedures will be reviewed every five years from the date of implementation, or earlier if legislation dictates. Any amendments will be notified to employees through the normal communication channels and/or e-mail. This Policy and related Procedures will be maintained on the Human Resources Website and Intranet pages.

Records and information obtained under this Policy and the related Procedures will be kept held and reported on in line with the Data Protection Act 2018<sup>8</sup>, the University's Records Retention Policy and in line with the Staff Privacy Notice. In particular, data collected as part of the Carers' Register is held securely and accessed by, and disclosed to, individuals only for the purposes of assessing eligibility for Carers' pay; sharing useful information with the group as an anonymous distribution list or with individual's line managers,

General statistics are maintained and reported for equal opportunities monitoring, Athena SWAN and other accrediting bodies, Freedom of Information requests and key performance indicator purposes.

Any reports produced using this information will be kept securely and confidentially with personal data removed in accordance with the UK Data Protection Act 2018 and the University's Records Retention Policy.

# 25. RELATED POLICIES, PROCEDURES AND FURTHER REFERENCE

The University has a range of policies and procedures relating to other types of leave which are not restricted to parents or carers on the <a href="HR Policy Web Page">HR Policy Web Page</a> and which are identified as Global or UK, Dubai or Malaysia specific. Some examples are:

#### **Policies**

- Annual Leave Policy (Global)
- Flexible Working Policy (UK)



<sup>8</sup> The appropriate relevant legislation in Malaysia is the Personal Data Protection Act 2010

# • Leave of Absence Overarching Policy (UK)

#### **Procedures and Guidance**

Procedures linked to the Leave of Absence Overarching Policy:

- Sabbaticals
- Career Breaks
- Volunteering
- Public Duties
- Severe/Adverse Weather Guidance

Procedures linked to the Flexible Working Policy:

- Flexible Working Procedures
- Flexible Working Request Form
- Flexible Working Guidance and FAQs
- Flexible Working Flowchart

Further Guidance and resources may be found here:

- <a href="https://www.hw.ac.uk/uk/services/equality-diversity/athena-swan/policies-guidance-and-useful-links.htm">https://www.hw.ac.uk/uk/services/equality-diversity/athena-swan/policies-guidance-and-useful-links.htm</a>
- https://heriotwatt.sharepoint.com/sites/hr-familyfriendly

#### Further reference:

For information about Athena SWAN Charter and Principles and the University's Action Plans: <a href="https://www.hw.ac.uk/athenaswan">www.hw.ac.uk/athenaswan</a>

# 26. FURTHER HELP AND ADVICE

Please contact the <u>HR helpdesk</u> for queries regarding this Policy and linked Procedures,

For queries regarding statutory payments, additional payments and pension scheme contributions during leave you can contact <a href="https://example.com/hr/>
HR helpdesk">helpdesk</a> or <a href="https://example.com/hr/>
HWU Help Desk">HWU Help Desk</a> and select Staff Systems – Payroll button.

The University has an Employee Assistance Programme which is available across all Campuses and employees can utilise this service to speak with independent and trained counsellors on matters of wellbeing, including workplace issues.

# 27. POLICY VERSION AND HISTORY

Version No	Date of Approval	Approving Authority	Brief Description of Amendment
V.1.5	September 2019	UE	Updated to reflect 2 enhanced maternity pay options
V 1.6	October 2021implemented Mar 22	UE	Updated to include procedures for Carers and Parental Bereavement Leave and to reflect Dubai Labor Law changes
V1.7	September 2023	UE	Updated to remove qualifying period for Occupational Pay in UK and requirement to repay if leave within 3 months of return from family leave
V1.8	April 2024	N/A	Updated to reflect legislative changes from April 2024

Version 1.8 First approved October 2019 updated Sept 2023 approved by UE; refreshed April 2024 to meet

legislative changes
Author: HR Laura Colquhoun

V1.	9	March 2025	Updated to reflect legislative
			changes from April 2025 for
			Neonatal care leave and pay

