

Complaints Handling Procedures (CHP)

Guidance for Students and Members of the Public

Heriot-Watt University is committed to providing an excellent education and high-quality services to our students from enrolment to graduation.

We value complaints and use information from them to help us improve our services.

1. If something goes wrong or you are dissatisfied with our services, please tell us. This leaflet describes our complaints procedure and how to make a complaint. It also tells you about how we will handle your complaint and what you can expect from us.

What is a complaint?

2. We regard a complaint as any expression of dissatisfaction about our action or lack of action, or about the standard of service provided by us or on our behalf.

What can I complain about?

3. You can complain about things like:
 - failure or refusal to provide a service
 - inadequate quality or standard of service, or an unreasonable delay in providing a service
 - the quality of facilities or learning resources
 - dissatisfaction with one of our policies or its impact on the individual (although it is recognised that policy is set at the discretion of the institution)
 - failure to properly apply law, procedure or guidance when delivering services
 - failure to follow the appropriate administrative process
 - conduct, treatment by or attitude of a member of staff or contractor (**except** where there are arrangements in place for the contractor to handle the complaint themselves); or
 - disagreement with a decision, (**except** where there is a statutory procedure for challenging that decision, or an established appeals process followed throughout the sector).
4. Your complaint may involve more than one Heriot-Watt University Primary Academic Unit (School) or area of Professional Service or be about someone working on our behalf.

What can't I complain about?

5. There are some things we can't deal with through our complaints handling procedure. These include:
 - a request for information or an explanation of policy or practice
 - a response to an invitation to provide feedback through a formal mechanism such as a questionnaire or committee membership

- a concern about student conduct
 - a routine first-time request for a service
 - a request for compensation only
 - an insurance claim
 - issues that are in court or have already been heard by a court or a tribunal (if you decide to take legal action, you should let us know as the complaint cannot then be considered under this process)
 - disagreement with a decision where there is a statutory procedure for challenging that decision (such as for freedom of information and subject access requests), or an established appeals process followed throughout the sector (such as an appeal about an academic decision on assessment or admission)
 - a request for information under the Data Protection or Freedom of Information (Scotland) Acts, or the Environmental Information Regulations
 - a grievance by a staff member or a grievance relating to employment or staff recruitment
 - a concern raised internally by a member of staff (which was not about a service they received, such as a whistleblowing concern)
 - concerns about services outwith the Institution's delegated responsibilities (e.g. conference and accommodation services to commercial clients)
 - a concern about a child or an adult's safety
 - an attempt to reopen a previously concluded complaint or to have a complaint reconsidered where we have already given our final decision
 - abuse or unsubstantiated allegations about our Institution or staff where such actions would be covered by our *Complaints' Unacceptable Actions Procedures*; or
 - a concern about the actions or service of a different organisation, where we have no involvement in the issue (**except** where the other organisation is delivering services on our behalf).
6. If other procedures or rights of appeal can help you resolve your concerns, we will give information and advice to help you.

Who can complain?

7. Anyone who receives, requests or is directly affected by our services can make a complaint to us. This includes the representative of someone who is dissatisfied with our service (for example, a relative, friend, advocate or adviser). If you are making a complaint on someone else's behalf, you will normally need their written consent. Please also read the section on **Getting help to make your complaint** below.

How do I complain?

8. You can complain in person at any of our offices or to any member of staff, or by writing or emailing the University Complaints Office directly (complaints@hw.ac.uk).
9. It is easier for us to resolve complaints if you make them quickly and directly to the service concerned. Where possible, your concerns should be raised with the relevant staff member, tutor, university representative or school office. Then they can try to address the issue.
10. If you are not sure who is the most appropriate person to raise your complaint with, or if you are not comfortable in making a complaint directly to the relevant member relevant member of staff, University representative, School or area of Professional Service, we recommend emailing the University Complaints Office (complaints@hw.ac.uk) directly.
11. When complaining, please tell us:
 - your full name, your **Person ID** number (if you are a student), and contact details
 - as much as you can about the complaint including, where applicable, the dates and times where the matter occurred, the locations, and, where possible, the names and roles of those involved.
 - what has gone wrong;
 - what outcome you are seeking.

How long do I have to make a complaint?

12. Normally, you must make your complaint within six months of:
 - the event you want to complain about; or
 - finding out that you have a reason to complain.
13. In exceptional circumstances, we may be able to accept a complaint after the time limit. If you feel that the time limit should not apply to your complaint, please tell us why.

What happens when I have complained?

14. We will always tell you who is dealing with your complaint. Our complaints procedure has two stages.

Stage 1: Frontline response

15. We aim to respond to complaints quickly (where possible, when you first tell us about the issue). This could mean an on-the-spot apology and explanation if something has clearly gone wrong, or immediate action to resolve the problem.
16. We will give you our decision at stage 1 in five working days or less, unless there are exceptional circumstances.
17. If you are not satisfied with the response we give at this stage, we will tell you what you can do next. If you choose to, you can take your complaint to stage 2. You must normally ask us to consider your complaint at stage 2 either:
- within six months of the event you want to complain about or finding out that you have a reason to complain; or
 - within two months of receiving your stage 1 response (if this is later).
18. In exceptional circumstances, we may be able to accept a stage 2 complaint after the time limit. If you feel that the time limit should not apply to your complaint, please tell us why.

Stage 2: Investigation

19. Stage 2 deals with two types of complaint: those where the complainant remains dissatisfied after stage 1 and those that clearly require investigation, and so are handled directly at this stage. If you do not wish your complaint to be handled at stage 1, you can ask us to handle it at stage 2 instead.
20. When using stage 2:
- we will acknowledge receipt of your complaint within three working days
 - we will confirm our understanding of the complaint we will investigate and what outcome you are looking for
 - we will try to resolve your complaint where we can (in some cases we may suggest using an alternative complaint resolution approach, such as mediation); and
 - where we cannot resolve your complaint, we will give you a full response as soon as possible, normally within 20 working days.
21. If our investigation will take longer than 20 working days, we will tell you. We will tell you our revised time limits and keep you updated on progress.

What if I'm still dissatisfied?

22. After we have given you our final decision, if you are still dissatisfied with our decision or the way we dealt with your complaint, you can ask the Scottish Public Services Ombudsman (SPSO) to look at it.

The SPSO are an independent organisation that investigates complaints. They are not an advocacy or support service (but there are other organisations who can help you with advocacy or support).

You can ask the SPSO to look at your complaint if:

- you have gone all the way through the *[institution]*'s complaints handling procedure
- it is less than 12 months after you became aware of the matter you want to complain about; and
- the matter has not been (and is not being) considered in court.

The SPSO will ask you to complete a complaint form and provide a copy of our final response to your complaint. You can do this online at www.spsso.org.uk/complain/form or call them on Freephone 0800 377 7330.

You may wish to get independent support or advocacy to help you progress your complaint. See the section on **Getting help to make your complaint** below.

The SPSO's contact details are:

SPSO
Bridgeside House
99 McDonald Road
Edinburgh
EH7 4NS
(if you would like to visit in person, you must make an appointment first)

Their freepost address is:

FREEPOST SPSO

Freephone: 0800 377 7330
Online contact www.spsso.org.uk/contact-us
Website: www.spsso.org.uk

Getting help to make your complaint

23. We understand that you may be unable or reluctant to make a complaint yourself. We accept complaints from the representative of a person who is dissatisfied with our service. We can take complaints from a friend, relative, or an advocate, if you have given them your consent to complain for you.

24. For Heriot-Watt University students, in order for us to accept a complaint from a person(s) external to the University who you are seeking to act on your behalf, you will be asked to confirm in writing (normally from your Heriot-Watt University email account) the name of the person(s) who you are authorising to make the complaint on your behalf, and that you are agreeing to allowing that person(s) to have full details and all pertinent information about you in respect to the complaint matter. We will not proceed with the complaint, nor will the date of commencement of the complaint begin, until that authorisation has been received and confirmed.

25. Useful contact details and additional sources of support.

- Students' Advice Hub advice.hub@hw.ac.uk;

The Students' Advice Hub (Edinburgh Campus) provides advice and support to students and can assist with the submission of a complaint: advice.hub@hw.ac.uk. This includes students studying at our Dubai or Malaysia Campus, though local support is available the Heriot-Watt University [Dubai Student Council](#) and the Heriot-Watt University [Malaysia Student Association](#), respectively, for those who wish to discuss matters in person.

Additional Support can be obtained from:

- [Student Well-Being Services](#)
- [Student Success Advisors](#)
- Student Association contact details

<i>Scottish Campuses</i>	https://www.hwunion.com
<i>HW Dubai Campus</i>	https://www.hwudcouncil.com
<i>HW Malaysia Campus</i>	https://www.hwumsa.com

26. We are committed to making our service easy to use for all members of the community. In line with our statutory equalities duties, we will always ensure that reasonable adjustments are made to help you access and use our services. If you have trouble putting your complaint in writing, or want this information in another language or format, such as large font, or Braille, or you require BSL support, please tell us in person or email us at complaints@hw.ac.uk.

Our contact details

27. Please contact us by the following means:

By email: complaints@hw.ac.uk

By letter: Senior Complaints Officer
HWU Complaints Office
Policy and Governance Division
Heriot-Watt University
Riccarton Campus
Edinburgh
Scotland
EH14 4AS

<https://www.hw.ac.uk/uk/students/studies/complaints/complaints.htm>

Heriot-Watt University will also seek to provide a translated version of this student guide in both Arabic (Dubai) and Malay, in due course

Quick guide to our complaints procedure

Complaints procedure

You can make your complaint in person, by email or in writing.

We have a **two-stage complaints procedure**. We will always try to deal with your complaint quickly. But if it is clear that the matter will need investigation, we will tell you and keep you updated on our progress.



Stage 1: Frontline response

We will always try to respond to your complaint quickly, within **five working days** if we can.

If you are dissatisfied with our response, you can ask us to consider your complaint at stage 2.



Stage 2: Investigation

We will look at your complaint at this stage if you are dissatisfied with our response at stage 1. We also look at some complaints immediately at this stage, if it is clear that they need investigation.

We will acknowledge your complaint within **three working days**.

We will confirm the points of complaint to be investigated and what you want to achieve.

We will investigate the complaint and give you our decision as soon as possible. This will be after no more than **20 working days** *unless* there is clearly a good reason for needing more time.



Scottish Public Services Ombudsman

If, after receiving our final decision on your complaint, you remain dissatisfied with our decision or the way we have handled your complaint, you can ask the SPSO to consider it.

We will tell you how to do this when we send you our final decision.